

15992 U.S. PTO  
012904

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Attorney Docket **P1216R1C1D1**  
PATENT

19270 U.S. PTO  
10/767374

012904

**EV 351 931 658 US:** Express Mail Number

**January 29, 2004 :** Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Avi Ashkenazi, San Mateo, CA  
Sherman Fong, Alameda, CA  
Audrey Goddard, San Francisco, CA  
Austin L. Gurney, Belmont, CA  
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Daniel Tumas, Orinda, CA  
William I. Wood, Hillsborough, CA

Title: COMPOUNDS, COMPOSITIONS AND METHODS FOR THE TREATMENT OF DISEASES  
CHARACTERIZED BY A-33 RELATED ANTIGENS

**1. Type of Application**

- [ ] This application is for an original, non-provisional application.
- [ ] This is a non-provisional application claiming priority to provisional application no. \_\_, filed \_\_, the entire disclosure of which is hereby incorporated by reference.
- [X] This is a [ ] continuation-in-part [ ] continuation [X] divisional application claiming priority to continuation application serial number 09/953,499, filed September 14, 2001, which claims priority to 09/254,465, filed March 5, 1999, which claims priority to provisional serial number 60/078,936 filed March 20, 1998 and PCT International application numbers PCT/US98/24855 filed November 20, 1998 and PCT/US98/19437 filed September 17, 1998, the entire disclosures of which are hereby incorporated by reference.

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)  
(Non-provisional )**

75 pages of specification  
5 pages of claims  
1 page(s) of abstract  
24 sheet(s) of drawings  
[X] formal [ ] informal

**3. Declaration or Oath**

\_\_\_\_ *(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)*  
An executed declaration of the inventor(s) ☐ is enclosed ☐ will follow.

  X   *(for Cont./Div. where inventorship is the same or inventor(s) being deleted)*  
A copy of the executed declaration/oath filed in the prior application is enclosed  
(37 CFR 1.63(d)).

\_\_\_\_ *(for Cont./Div. where inventor(s) being deleted)*  
A signed statement is attached deleting inventor(s) named in the prior  
application (see 37 CFR 1.63(d)(2) and 1.33(b)).

**4. Assignment**

\_\_\_\_ *(for new and CIP applications)*  
An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with  
attached Recordation Form Cover Sheet ☐ will follow.

  X   *(for cont./div.)*  
The prior application is assigned of record to Genentech, Inc.

**5. Amendments (for continuation and divisional applications)**

\_\_\_\_ Cancel in this application original claims 1-48 of the prior application before calculating the  
filing fee. (At least one original independent claim must be retained for filing purposes.)

  X   A preliminary amendment is enclosed. (Claims added by this amendment have been  
properly numbered consecutively beginning with the number next following the highest  
numbered original claim in the prior application.)

**6. Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$770.00
Total Claims	11	-20 =	0	X \$18.00	\$0.00
Independent Claims	3	-3 =	0	X \$80.00	\$0.00
Multiple dependent claim(s), if any				+ \$270.00	\$0.00
Filing Fee Calculation					\$770.00

**7. Method of Payment of Fees**

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$770.00. **A duplicate copy of this transmittal is enclosed.**

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

**9. Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations  
☐ A new Power of Attorney or authorization of agent.  
☒ Letter and Request To Use Computer-Readable Sequence Listing Under 37 CFR § 1.82(e)  
☐ Other: Application Data Sheet and post card.

**10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)**

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- \_\_\_\_\_ A petition, fee and/or response has been filed to extend the term in the pending prior application until \_\_\_\_\_  
 \_\_\_\_\_ A copy of the petition for extension of time in the **prior** application is attached.

**11. Correspondence Address:**

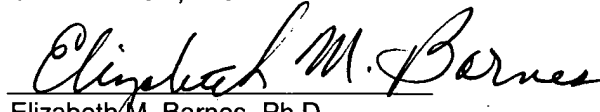
X Address all future communications to:

Attn: Elizabeth M. Barnes, Ph.D.

Respectfully submitted,  
GENENTECH, INC.

Date: January 29, 2004

By:



Elizabeth M. Barnes, Ph.D.

Reg. No. 35,059

Telephone No. (650) 225-4563

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PATENT TRADEMARK OFFICE

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